DUKASCOPY

dukascopy.com

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Account Holder/Client:	Account number: (Filled by Dukascopy)

V.21.11.2018

IDENTIFICATION OF THE CONTROLLING PERSON(S)

Establishing of the controlling person of operating legal entities and partnerships both not quoted on a stock exchange (for operating legal entities and partnerships that are Holder/Client as well as analogously for operating legal entities and partnerships that are beneficial owners)

The Holder/Client hereby declares that (tick the appropriate box):

☐ the person(s) listed below is/are holding 25% or more of the Holder/Client's shares (capital shares or voting rights); or

☐ if the capital shares or voting rights cannot be determined or in case there are no capital shares or voting rights of 25% or more, the Holder/Client hereby declares that the person(s) listed below is/are controlling the Holder/Client in other ways; or

☐ in case this/these person(s) cannot be determined or this/these person(s) does/do not exist, the Holder/Client hereby declares that the person(s) listed below is/are the managing director(s).

1 Last name(s), first name(s) / Corporate name:
Address of actual residence / registered office:

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2 Last name(s), first name(s) / Corporate name:
Address of actual residence / registered office:

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3 Last name(s), first name(s) / Corporate name:
Address of actual residence / registered office:

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4 Last name(s), first name(s) / Corporate name:
Address of actual residence / registered office:

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5 Last name(s), first name(s) / Corporate name:
Address of actual residence / registered office:

Fiduciary holding of assets

Is a third person the beneficial owner of the assets held in the account?

☐ No

☐ Yes

The Holder/Client undertakes to immediately inform Dukascopy Bank of any changes in the above information. It is a criminal offence to deliberately provide false information on this form (Art. 251 of the Swiss Penal Code, document forgery; penalty: imprisonment for up to five years or a fine).

Date: _____

Signature(s) of the Holder/Client: _____